

## Risk Assessment:

*Grant compliance in terms of reporting, member documentation, financial practices, and policies and procedures comprise the structure on which the program operates. These requirements are the basis for a sound operation and do not allow much flexibility. They are absolute. Thus, the standard to which programs should be held accountable is essentially, full compliance.*

RISK INDICATOR	GIVE 1 POINT FOR EACH YES	COMMENTS
Moderate to High level of staff turn-over		
Low quality performance of sub-grantee		
Late reporting/submission of CNS/ONCS forms		
Inaccurate reporting		
Programmatic Issues		
Recent change in fiscal agent		
Low member enrollment		
Fiscal issues		
Rapid growth		
WBRs data		
Multiple grants/many new initiatives		
History of complaints from members/others		
Last visit more than a year ago		
Sub-grantee has requested a visit		
Has one or more high cost or visible sites		
Critical need for technical assistance /guidance		
New sub-grantee <b>(weighted to get 3 points instead of 1)</b>		
Problematic sub-grantee sites including the following:		
Inadequate oversight		
Persistent program quality issues		
Poor reporting to main office		
Failure to address previous issues		
High program attrition		
<b>TOTAL</b> (there are 22 line items)		
<b>% OF TOTAL</b> (total points/22 = % of total)		
<b>RISK ASSESSMENT RATING:</b> <b>CIRCLE ONE: High-Moderate-Low</b>		<ul style="list-style-type: none"> <li>➤ 0% -10% is low</li> <li>➤ 11% - 40% is moderate</li> <li>➤ 41%-100% is high</li> </ul>