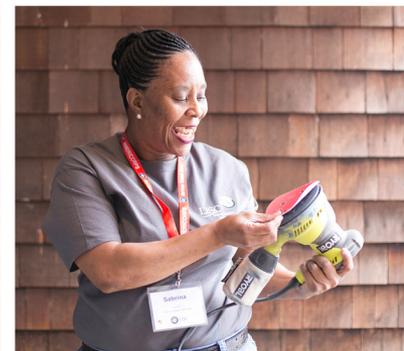




FY2020 CNCS Monitoring Plan: What to Expect



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FY 2020 Monitoring Plan

- Office of Monitoring stand-up
 - Core functions and timeline
- Key changes to monitoring framework and methodology
- Tips for preparing for FY 2020 monitoring activities



CNCS Office of Monitoring Functions

- Independently monitors and tests CNCS funded grants, projects, and recipient organizations to ensure program activities are in compliance with Federal regulations and CNCS policies. The Office conducts various monitoring activities and strategies, and ensures that all non-compliance issues are resolved.
- Promotes organizational effectiveness by standardizing and strengthening monitoring and oversight of CNCS grant portfolio within a centralized unit
- Provides effective stewardship of public funds through a structured, risk-based monitoring framework that is outcome oriented and minimizes areas of noncompliance
- Provides routine program monitoring and evaluation to support information gathering and reporting on a continuous basis to show effectiveness of grant programs and to evaluate effectiveness of monitoring system

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Timeline for FY 2020

September/October

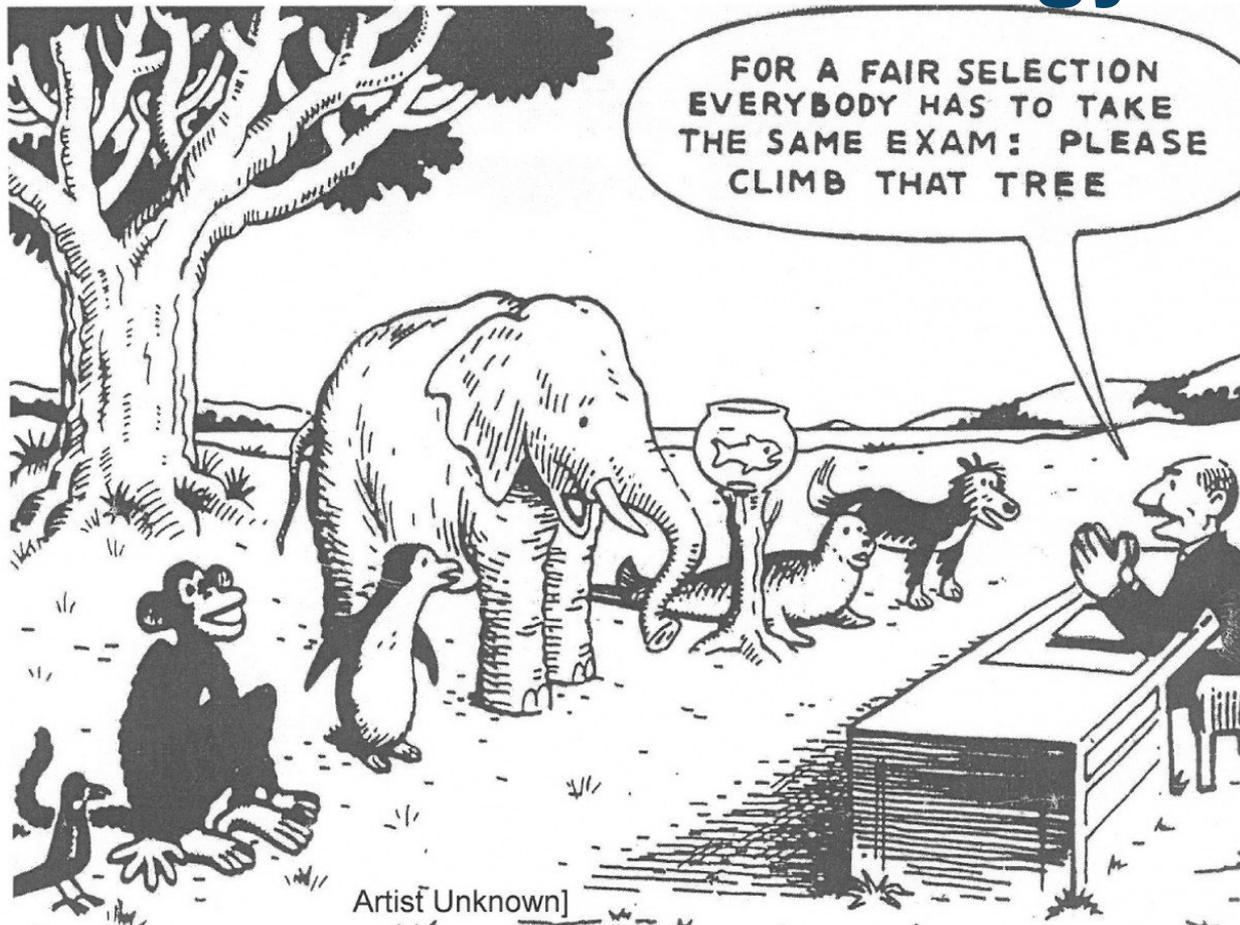
- Complete hiring of full team
- Begin internal testing of remote/electronic monitoring tools, prioritizing NSCHC compliance
- Define, enhance existing monitoring protocols and develop new monitoring activities to broaden grant recipient oversight
- Draft Phase I revision of CNCS Policy for Monitoring and Oversight – define clear roles and functions of office staff and monitoring strategy
- Staff training and development, in coordination with Office of Regional Operations (on-going)
- Develop communication plan and shared messaging to CNCS grant recipients regarding approach to monitoring

October/November

- Conduct risk assessment (interim), in coordination with Office of Chief Risk Officer, and support testing of risk assessment model
- Match monitoring activities to CNCS grant programs, based on risk factors and monitoring system testing strategies
- Initiate revisions to key CNCS standard operating procedures for conducting monitoring activities, in alignment with testing
- Develop schedule for monitoring and oversight activity, beginning November 15



Framework and Methodology



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Framework and Methodology

- Broaden scope of monitoring to assess more CNCS grantees
 - Expand menu of monitoring activities beyond site visits and desk reviews
- Target majority of monitoring resources to the riskiest grants
- Align monitoring activities to unique needs of grantees/programs
 - Consider risk indicator type and/or past areas of improvement
 - Consider national initiatives and priorities
- Support continuous improvement by increasing frequency of various monitoring activities
 - Reduce administrative burden by implementing virtual and electronic oversight
- Provide routine program monitoring and evaluation to support information gathering and reporting on a continuous basis
 - Offers standardized feedback and consistent monitoring experiences for CNCS grant recipients
 - Shows effectiveness of grant programs and supports evaluation of effectiveness of monitoring system



Show of hands...

Who has been part of a project or program where monitoring data was collected but never really used to improve the program?



Framework and Methodology

- CNCS Priority Monitoring Areas and Common Monitoring Findings
 - NSCHC compliance (vendor usage versus non-vendor usage)
 - Timesheets: documentation to support actual service and expenses
 - Subrecipient monitoring and oversight
 - Prohibited activities
 - Prevention and detection of fraud, waste, and abuse
 - OIG inquiries/referrals



Activity

Internal Monitoring for Continuous Improvement

...because monitoring isn't just about compliance.

1. Work with your table mates
2. Refer to activity handout
3. Select a recorder and a reporter
4. Prepare to highlight commonly shared and/or new practices



Thank you in advance...

- For your valuable and ON-GOING feedback.
- For your patience with monitoring systems testing. We might need to modify along the way!
- For your commitment to national service

